

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

NIH/SAS/NMS

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/019,833 ✓	11/20/2002 ✓	1646	1830 ✓	4239-61375		37 ✓	9 ✓

CONFIRMATION NO. 8664

24197
 KLARQUIST SPARKMAN, LLP
 121 SW SALMON STREET ✓
 SUITE 1600
 PORTLAND, OR 97204

FILING RECEIPT



OC000000011033528

PREVIOUSLY DOCKETED

Date Mailed: 10/15/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Anil B. Mukherjee, Brookeville, MD;
 Feng Zheng, Rockville, MD;
 Zhongjian Zhang, Rockville, MD;

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US00/09979 04/13/2000 *
 which claims benefit of 60/130,434 04/21/1999

~~(*) Data provided by applicant is not consistent with PTO records.~~

Foreign Applications

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

Title

Uteroglobin in the treatment of iga mediated autoimmune disorders

Exhibit A

Preliminary Class

514

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

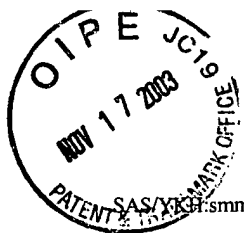
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



SAS/YK31.smm 11/13/03 4239-61375 233279.doc

Receipt
Image
PATENT

Attorney Reference No. 4239-61375

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mukherjee et al.

Application No. 10/019,833

Filed: November 20, 2002

Confirmation No. 8664

For: UTEROGLOBIN IN THE TREATMENT
OF IGA MEDIATED AUTOIMMUNE
DISORDERS

Examiner: To be assigned

Art Unit: 1646

Attorney Reference No. 4239-61375

CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence and any documents referred to as being transmitted herewith are being facsimile transmitted to the OFFICE OF INITIAL PATENT EXAMINATION'S FILING RECEIPT CORRECTIONS via (703) 746-9195 on the date shown below.

Agent
for Applicant(s)

Date Transmitted November 13, 2003

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

OFFICE OF INITIAL PATENT EXAMINATION
CUSTOMER SERVICE CENTER
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

Applicants have received the official Filing Receipt for the application referenced above, a copy of which (with requested correction shown in red ink) is attached as Exhibit A.

The following error appears on the Filing Receipt:

The domestic priority data, PCT/US00/09979 04/13/2000, is identified as not consistent with PTO records. Please delete this notation from the official Filing Receipt.

Applicants' records show that the above referenced patent application is a 35 U.S.C. § 371 application of the international patent application number PCT/US00/09979, filed on April 13, 2000. Supports can be found on the Declaration for the above referenced patent application, filed on November 20, 2002, and the international search report of the international patent application. Copies of the Declaration and the search report are attached herewith as Exhibit B and Exhibit C, respectively.

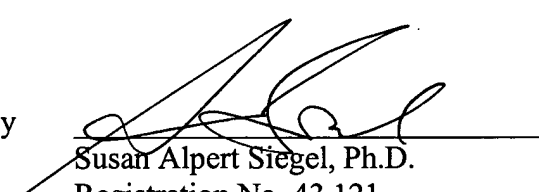
Applicants request that the notation be removed and that a new official Filing Receipt be issued.

Please call the undersigned if any further information is required.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By



Susan Alpert Siegel, Ph.D.
Registration No. 43,121

One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, Oregon 97204
Telephone: (503) 226-7391
Facsimile: (503) 228-9446

cc: Docketing